

TRANSMITTAL FORM			Application No.	09/853,770	
			Filing Date	May 11, 2001	
(to be used for all correspondence after initial filing)			First Named Inventor	Satoshi Shigematsu	
			Art Unit		
			Examiner Name		
Total Number of	Pages in This Submissio	n 4	Attorney Docket Number	r 96790P355	
ENCLOSURES (check all that apply)					
Fee Transmittal Form		Drawing(s)		After Allowance Communica to Group	tion
Fee Attached		Licensing-related Papers		Appeal Communication to Bo of Appeals and Interferences	ard
Amendment / Re	esponse	Petition		Appeal Communication to Great (Appeal Notice, Brief, Reply Brief)	oup
After Final Affidavits/declaration(s)		Petition to Convert a Provisional Application		Proprietary Information	
Extension of Time Request		Power of Attorney, Revocation Change of Correspondence Address		Status Letter	
Express Abandonment Request		Terminal Disclaimer		Other Enclosure(s) (please identify below):	
Information Disclosure Statement		Request for Refund		Copy of European Search Report; Six (6) cited	
PTO/SB/08		CD, Number of CD(s)		references; return postcard	
Certified Copy of Priority Document(s)					
Response to Mis Incomplete Appli	ssing Parts/ cation	Remarks			
Basic	Filing Fee	Remains	┙		
Declaration/POA					
Response Parts und 1.52 or 1.5	e to Missing er 37 CFR 53				
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm Firm Eric S. Hyman, Reg. No. 30,139					
Individual name BLAKELY, SOKOTOFF, TAYLOR & ZAFMAN LLP					
Signature Europe					
Date \ \ \ \alpha\log\ \(\)					
CERTIFICATE OF MAILING/TRANSMISSION					
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.					
Typed or printed name Kelly Walsh					
Signature	Yolly (Dolle)	Date 7-27-01	

Docket No.: 96790P355

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

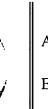
SATOSHI SHIGEMATSU, ET AL.

Application No.: 09/853,770

Filed: May 11, 2001

For: AUTHENTICATION TOKEN AND

AUTHENTICATION SYSTEM - UTILITY



Art Group:

Examiner:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The references were cited in a Search Report dated May 18, 2004 (copy enclosed herewith) in a counterpart EPO application, which was forwarded to Applicant's Representative in a communication dated May 28, 2004.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

Blakely, Sokoloff, Taylor & Zafman LLP

Eric S. Hyman, Reg No. 30,139

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025 Telephone: (310) 207-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Kelly Walsh

Date

Substitute f	ute for form 1449A/PTO		Complete if Known			
INFORMATION DISCLOSURE				Application Number	09/853,770	
			SURE	Filing Date	May 11, 2001	
STATEMENT BY APPLICANT		First Named Inventor	Satoshi Shigematsu	_		
(use as many sheets as necessary)				Art Unit		_
use as many sneets as necessary,				Examiner Name		
Sheet	1	of	1	Attorney Docket Number	96790P355	

U.S. PATENT DOCUMENTS **Document Number** Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear Publication Date or Issue Date MM-DD-YYYY Name of Patentee or Applicant of Cited Document Cite Number - Kind Code² (if known) No.1 US-US-US-US-US-US-US-US-US-US-US-US-US-

		FOI	REIGN PATEN	IT DOCUMENTS		
Examiner Cite No.	Cite	Foreign Patent Document			Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	т6
	No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		١٩
		EP 0 994 439	04-19-2000	Sony Corporation		
		EP 0 924 656	06-23-1999	TRW, Inc.		
		DE 196 18 144	01-05-1996	Ziegler, Hans-Berndt, Dr.		
		DE 197 03 970	08-06-1998	Wilke, Thomas		
		WO 99/56429	11-04-1999	Identix Incorporated		ļ
		WO 00/36566	06-22-2000	Koninklijke Philips Electronics N.V.		
_						

Examiner	Date	
Signature	Considered	

US-US-US-US-US-US-

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.